

Sample Database

Fund Category	ETF Name	Ticker	Fee	Index Provider	Index Name	Index Strategy Box	Security Selection Category	Security Selection Method	Security Selection Frequency	Fund Tracking Method	Index Weighting Category	Index Weighting Method	Index Weighting Frequency	Fund Weighting Restriction	Index Notes
<b>U.S. Equity Markets</b>															
US Large Blend	SPDR Trust Series 1	SPY	0.09%	Standard & Poor's	S&P 500 Index	■	Passive	Sampled	Ongoing	Replicate	Capitalization	Free Float	Market	None	Index committee sets policy. Continuous additions and deletions
US Large Growth	Powershares QQQ	QQQQ	0.20%	NASDAQ Inc.	Nasdaq 100 Trust	■	Screened	Exchange	Annual	Replicate	Capitalization	Capped	Quarterly	None	Largest 100 non-financial. Limit on % sizes. Reconstructed in Dec.
US Multi Cap Blend	Vanguard Total Stock Market	VTI	0.07%	Morgan Stanley Capital Markets	MSCI US Broad Market Index	■	Passive	Sampled	Ongoing	Sampled	Capitalization	Free Float	Market	None	Largest 1,200-1,300 stocks in the index.
US Small Cap Value	Rydex S&P 600 Pure Value	RZV	0.35%	Standard & Poor's	S&P SmallCap 600/Citigrp Pure Value	■	Screened	Multi Factor	Annual	Replicate	Fundamental	Multi Factor	Annual	None	Stocks are the top 1/3 value style in S&P 600. Weighted by style strength
US Micro Cap	PowerShares Zacks MicroCap	PZI	0.72%	Zacks Investment Research	Zacks Micro Cap	■	Quantitative	Multi Factor	Quarterly	Sampled	Fixed Weight	Mod Equal	Quarterly	None	Quantitative value and momentum selection of 300-500 stocks
<b>Global, International and Country</b>															
Global - Dev. Large	SPDR DJ Global Titans	DGT	0.50%	Dow Jones & Co.	Dow Jones Global Titans	■	Passive	Sampled	Annual	Replicate	Capitalization	Free Float	Annual	None	50 large global stocks based on size and fundamentals
Int'l - Broad Mkt	SPDR MSCI ACWI ex-US	CWI	0.35%	Morgan Stanley Capital Markets	MSCI ACWI ex USA	■	Passive	All Securities	Quarterly	Sampled	Capitalization	Free Float	Market	None	Fund holds approximately 1200 of 2500 eligible securities
Emerging Mkt	Claymore BNY BRIC ETF	EEB	0.60%	Bank of New York	BNY BRIC Select ADR	■	Passive	Sampled	Ongoing	Replicate	Capitalization	Capped	Quarterly	None	No single stock is more than 4.5% of the ADR index
Int'l Dev. - Small Val	WisdomTree International Small Cap Dividend	DLS	0.58%	WisdomTree International	WisdomTree Int'l Small Cap Dvd	■	Screened	Dividend Pay	Quarterly	Sampled	Fundamental	Dividends	Quarterly	None	Dividend paying stocks. Constituents weighted based on dividend level.
Country - Small Val	WisdomTree Japan SmallCap Dividend	DFJ	0.58%	WisdomTree International	WisdomTree Japan SmallCap Dvd	■	Screened	Dividend Pay	Quarterly	Replicate	Fundamental	Dividends	Quarterly	None	Full replication of index. Constituents weighted based on dividend level.
<b>Industry Sectors</b>															
Consumer Discr.	Consumer Discretionary Select Sector SPDR	XLY	0.24%	Standard & Poor's	S&P 500 Industry Sector	■	Passive	All Securities	Ongoing	Replicate	Capitalization	Free Float	Market	None	S&P 500 sectors. All companies in the sector index.
Financial	Vanguard Financials ETF	VFH	0.25%	Morgan Stanley Capital Markets	MSCI US Investable Market	■	Passive	All Securities	Ongoing	Replicate	Capitalization	Free Float	Market	Conditional	Will use sampling to comply with SEC regulations if needed
US REITS	Vanguard REIT ETF	VNQ	0.12%	Morgan Stanley Capital Markets	MSCI US REIT	■	Passive	All Securities	Ongoing	Replicate	Capitalization	Free Float	Market	Conditional	Will use sampling to comply with SEC regulations if needed
Materials	Rydex S&P Equal Weight Materials	RTM	0.50%	Standard & Poor's	Equal Weight S&P 500	■	Passive	All Securities	Quarterly	Replicate	Fixed Weight	Equal	Quarterly	None	S&P 500 sectors. All companies in the sector index.
Technology	First Trust Technology AlphaDEX	FXL	0.70%	American Stock Exchange	AMEX StrataQuant	■	Quantitative	Multi Factor	Quarterly	Replicate	Fixed Weight	Mod Equal	Quarterly	None	Top Russell 1000 sectors. Weightings are replicated but not rigid.
<b>Special Equity</b>															
Social Issues	iShares KLD 400 Social Index	DSI	0.50%	KLD Research & Analytics	Domini 400 Social	■	Screened	Social Issues	Ongoing	Sampled	Fundamental	Social Rank	Ongoing	None	Selected from S&P 500 and Russell 3000 securities
Environmental	PowerShares Water Resources	PHO	0.60%	Hydrogen Ventures/WaterTech Cptl	Palisades Water Index	■	Screened	Thematic	Quarterly	Replicate	Fixed Weight	Modified Eq	Quarterly	None	Modified equal weight seems to infer two tiers at 2 and 4 percent.
Corporate Action	First Trust IPOX100 Index Fund	FPX	0.60%	IPOX Schuster LLC	U.S. IPOX-100 Index	■	Quantitative	Thematic	Quarterly	Replicate	Capitalization	Capped	Quarterly	None	Security weights are capped at 10%, i.e.Google. IPOs held 1,000 days.
Insider Trading	Claymore Sabrient Insider	NFO	0.60%	Sabrient Systems, LLC	Sabrient Insider Sentiment Index	■	Screened	Analyst Rank	Quarterly	Replicate	Fixed Weight	Equal	Quarterly	None	Index tracks insider buying and selling patterns
Disease	HealthShares Infectious Disease	HHG	0.75%	HealthShares, Inc.	HealthShares Infectious Disease	■	Screened	Thematic	Ongoing	Replicate	Fixed Weight	Eq then Float	Market	None	Securities equal weighted once, then float with market cap.
<b>Fixed Income</b>															
Treasury - Intermediate	iShares Lehman 3-7 YR Treasury	IEI	0.15%	Lehman Brothers, Inc.	LB 3-7 YR Treasury Bond	■	Passive	All Securities	Monthly	Sampled	Capitalization	All Cap	Monthly	None	Fund samples the index - may use longer or shorter bonds
Inflation Protected	SPDR Barclays Capital TIPS	IPE	0.18%	Barclays Global Investors Limited	Barcalys U.S. Treas. TIPS	■	Passive	All Securities	Monthly	Sampled	Capitalization	All Cap	Monthly	None	Holds only U.S. Treasury TIPS securities
Mortgages	iShares Lehman MBS Fixed Rate	MBB	0.25%	Lehman Brothers, Inc.	LB U.S. MBS Fixed Rate	■	Passive	All Securities	Monthly	Sampled	Capitalization	All Cap	Monthly	None	Due to fund sampling, some securities will be overweighted to the index
Aggregate Bond	Vanguard Total Bond Market	BND	0.11%	Lehman Brothers, Inc.	Lehman Brothers U.S. Aggr	■	Passive	All Securities	Monthly	Sampled	Capitalization	All Cap	Monthly	None	Due to fund sampling, some securities will be overweighted to the index
Corporate - Hi Yield	iShares iBoxx \$ High Yield Corporate	HYG	0.50%	International Index Company (IIC)	iBoxx \$ Liquid High Yield	■	Passive	Liquidity	Monthly	Sampled	Fixed Weight	Equal	Market	None	Generally 50 liquid high yield bonds, 2 percent equal weight in each
Preferred Stocks	PowerShares Financial Preferred	PGF	0.60%	Wachovia Corporation	Wachovia Hybrid & Pref. Financial	■	Screened	Financial	Monthly	Sampled	Capitalization	All Cap	Monthly	None	Screened for qualified dividend (QDI) financial stocks.

Definitions of Database Headings:

**Index Strategy Box:** A graphical illustration of security selection category and security weighting category

**Security Selection Category:** One of three basic strategies for selecting securities (passive, filtered and quantitative)

**Security Selection Method:** The general method used to select securities by the index provider.

**Security Selection Frequency:** The period between selections (ongoing, monthly, quarterly, annually)

**Fund Tracking Method:** How the ETF tracks the securities in the index (full replication, sampling)

**Index Weighting Category:** One of three basic categories of security weighting (capitalization, fundamental and fixed weight)

**Index Weighting Method:** The general method used to weight securities (free float, dividends, earnings, equal weight, modified equal weight, etc.)

**Index Weighting Frequency:** How often indexes not using capitalization weighted are rebalanced.

**Fund Weighting Restriction:** Any weighting restrictions specific to the ETF that may cause tracking error with the index.

**Index Notes:** Important information about the index or fund.